

NEW REGISTRATION RENEWAL OF REGISTRATION

REGISTRATION CUM MANDATE FORM FOR ECS (Debit clearing) / Direct Debit/Standing Instructions

BROKER ARN: ARN-26503

Sub Agent's Code

For Office use only

Contact No:

INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name

Application No. (New Investor)

Or Existing Investor Folio No.

Scheme

Plan

Option/Sub option

Email ID: (In capital)

Mobile Number:

+ 9 1

(For SMS Alerts)

(For Email Delivery instead of physical account statement.)

Sole / First Applicant / Guardian

Second Applicant / Guardian

Third Applicant / Guardian

PAN (Provide attested PAN
card copy)

SIP AND DEBIT DETAILS

Each SIP Amount (Rs.)

(Minimum Rs. 1,000/-)

Frequency Monthly (Default) Quarterly

First SIP Cheque No.:

(Cheque amount same as Auto Debit Amount)

(Note: Cheque should be drawn on bank whose details are provided below)

Cheque date

DD / MM / YYYY

Mandatory Enclosure (If 1st instalment is not by cheque) Blank Cancelled Cheque Copy of Cheque

SIP Debit Dates:

1st * 7th 14th 21st All four dates of the month / quarter (minimum 12 instalments).

SIP Period

Start Month

MM YY

End Month

MM YY

*Default

(Note: There should be a minimum time gap of one month and maximum time gap of two months between the first cheque for SIP investment and first instalment of SIP Debit)

PARTICULARS OF BANK ACCOUNT

I/We hereby authorise DSP BlackRock Mutual Fund and their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit/SI to account for collection of SIP payments.

Accountholder Name as
in Bank Account

Bank Name

Branch Name & Address

Account Number

(Core Banking No. in full)

Account Type Savings Current NRE NRO

9 Digit MICR Code

◀ (Please enter the 9 digit number that appears after your cheque number)

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Information and Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP BlackRock Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible, I will also inform DSP BlackRock Mutual Fund, its service providers and bank about any changes in my bank account. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf.

First
Account
Holder's
Signature

(As in Bank Records)

Second
Account
Holder's
Signature

(As in Bank Records)

Third
Account
Holder's
Signature

(As in Bank Records)

For Office Use only (Not to be filled in by Investor)

Scheme Code

Credit Account Number

Bank use Mandate Ref. No.

Customer Ref. No.

Authorisation of the Bank Accountholder (to be signed by the Bank Accountholder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instructions Facility and that my/our payment towards my/our investment in DSP BlackRock Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative of DSP BlackRock Mutual Fund carrying this mandate form to get it verified & executed. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf.

Bank Account Number

First
Account
Holder's
Signature

(As in Bank Records)

Second
Account
Holder's
Signature

(As in Bank Records)

Third
Account
Holder's
Signature

(As in Bank Records)

Acknowledgement (Subject to verification)

DSP BlackRock MUTUAL FUND

Investor's Name

Folio No.

/

SIP Amount (Rs.)

Frequency: Monthly Quarterly

SIP Date

Scheme

SIP ECS (Debit Clearing) / Direct Debit / Standing Instructions: Terms & Conditions

List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

- Agra • Ahmedabad • Allahabad • Amritsar • Asansol • Aurangabad • Bangalore • Baroda • Bhilwara • Bhopal
- Bhubaneswar • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Dehradun • Delhi • Dhanbad • Durgapur
- Erode • Gorakhpur • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar
- Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mangalore • Mumbai
- Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raipur • Rajkot • Ranchi • Salem • Shimla • Sholapur • Siliguri • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivendrum • Udaipur • Udupi • Varanasi • Vijayawada • Vizag

In other cities, facility available to account holders of Core Banking Branches of following banks

- Axis Bank • Bank of Baroda • Bank of India • Bank of Rajasthan • Citibank • HDFC Bank • ICICI Bank • IDBI Bank
- ING Vysya Bank • Punjab National Bank • Syndicate Bank

1. Please refer the SID, SAI, KIM and Addenda issued from time to time and Standard Offer Documents for Applicable NAV, Risk Factors, load and other information on the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
 2. This facility is only offered to those investors having bank accounts in select cities and with banks as mentioned above.
 3. If Investors submit a cheque and SIP application with a bank mandate not as mentioned above, their first cheque may get processed, however debit may not start and may get rejected. In such a case, the Mutual Fund will not entertain any request for refund of proceeds of first cheque.
 4. The list of cities, banks and branches may be modified / updated / changed / removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route/Direct debit/SI will be discontinued without prior notice.
 5. Complete application form and SIP debit form along with the first cheque should be submitted to the Fund or the Registrar. DSP BlackRock Fund Managers Ltd. (AMC) reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
 6. Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SIP facility.
 7. Existing Investors are required to submit the SIP debit form, indicating the existing Account/Folio Number and investment details in the SIP section of this form.
 8. To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously.
 9. Investors should preferably give a cheque for the first transaction, drawn on the same bank account which is to be registered for ECS Debit/Direct debit / standing instruction.
 10. In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to the bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
 11. It will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP start date mentioned on the form.
 12. The SIP period should cover minimum 12 instalments, whether monthly or quarterly.
 13. The Mutual Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration and to ensure minimum twelve instalments, even if the customer has submitted the form late or requested for a period less than 12 instalments.
 14. If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. If no end date is specified or in the absence of SIP end date, SIP will be registered for a period of 10 years.
 15. The bank account provided for ECS (Debit) / Direct Debit / SI should participate in local clearing.
 16. SIP facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21st. In case "all four dates" is selected, SIP will be registered for a minimum of 3 months for each of the four days. The debit will start after minimum one month only and thereafter on all four dates.
 17. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
 18. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID of the scheme concerned.
 19. The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of bank's computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
 20. Investors will not hold the AMC, its Registrar, banks and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
 21. For the purpose of SIP investments, the investor and/or bank accountholders authorizes AMC, its registrars, bankers, correspondent bankers and service providers, to raise a debit on the mentioned account with the bank branch. The investor and/or bank accountholders hereby authorizes the bank to honor all such requests received through AMC, its registrars, bankers and service providers to debit their Account with the amount requested, for due remittance of the proceeds to AMC.
- The investor/s or the bank accountholders shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. Investors will not have any claim against the AMC, its registrars, bankers, correspondent bankers and service providers, in respect of the amount so debited pursuant to the mandate submitted by the investor/account holder. Investor/s and/or the bank account holders shall keep the AMC, its Registrar, banks, correspondent banks and other service providers, jointly and or severally indemnified, from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by them, by reason of their acting upon the instructions issued by the named authorized signatories / beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter from the investor submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at AMC/registrar and giving reasonable notice to effect such withdrawal.
22. SIP is not available in DSP BlackRock Liquidity Fund, DSP BlackRock Cash Plus Fund, DSP BlackRock Short Term Fund, DSP BlackRock Floating Rate Fund, DSP BlackRock Liquid Plus Fund - Institutional Plan, DSP BlackRock Small and Mid Cap Fund - Institutional Plan, DSP BlackRock Equity Fund - Institutional Plan, DSP BlackRock Top 100 Equity Fund - Institutional Plan, DSP BlackRock Opportunities Fund - Institutional Plan, DSP BlackRock India T.I.G.E.R. Fund - Institutional Plan, DSP BlackRock Technology.com Fund - Institutional Plan, DSP BlackRock Natural Resources and New Energy Fund - Institutional Plan and DSP BlackRock Strategic Bond Fund - Institutional Plan.
 23. The minimum investment amount for each SIP is Rs. 1,000/- in all Schemes
 24. To modify SIP debit bank mandate, the investor may fill a separate form, viz., "Change of Payment Mechanism form" which is available at all the offices of the Fund. Instructions in any other form / manner are liable to be rejected.
 25. This request for debit mandate is valid and may be revoked only through a written letter submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at collection counters and giving reasonable notice to effect such withdrawal. SIP debit may be processed if the request is not submitted one month in advance.

SIP AUTO DEBIT FORM CHECKLIST

- Application Form is complete in all respect. Name, Application Number/ Folio, Scheme, Plan, Option are mentioned clearly
- SIP Date, SIP Frequency has been ticked correctly
- Start Date and End Date is clearly mentioned as Month - Year.
- Bank Name, Full Branch Address, Full Account Number, MICR Code for debit has been mentioned clearly and legibly.
- Form is signed by all applicants as in bank records in two places as specified.